

Identification for an Australian company

Policy number	<input type="text"/>	Policy number	<input type="text"/>
Policy number	<input type="text"/>	Policy number	<input type="text"/>

You can also arrange for your financial adviser to identify you by completing the appropriate FSC Identification form.

We respect your privacy and handle your information in accordance with our privacy policy. The MLC Limited Privacy Policy is available at mlcinsurance.com.au/privacy-policy

Section 1: Australian company details

1. General information

Full name as registered by ASIC

Nature of business activities conducted

ACN

Registered office address - PO Box is **not** acceptable

Unit number	Street number	Street name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Principal place of business (if any or if different to above) - PO Box is **not** acceptable

Unit number	Street number	Street name
<input type="text"/>	<input type="text"/>	<input type="text"/>

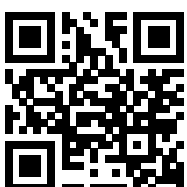
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Trustee
 NULIS Nominees (Australia) Limited
 ABN 80 008 515 633 AFSL 236465

Fund
 MLC Super Fund
 ABN 70 732 426 024

Insurer
 MLC Limited
 ABN 90 000 000 402 AFSL 230694

The Trustee is part of the Insignia Financial Group. MLC Limited uses the MLC brand under licence from Insignia Financial Group. MLC Limited is part of the Nippon Life Insurance Group and is not a part of the Insignia Financial Group.



Section 1: Australian company details continued

2. Company type

Select **one** of the following categories

Public Companies whose name does not include the word Pty or proprietary; generally listed companies. Please go to **4. Regulatory/Listing details**

Proprietary Companies whose name ends with Proprietary Ltd or Pty Ltd, also known as private companies. Please go to **3. Directors** below

3. Directors

This question only needs to be completed for proprietary companies.

Provide full name of each director

	Full given name(s)	Surname
1.	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>
4.	<input type="text"/>	<input type="text"/>

If there are more directors, provide details on a separate sheet.

4. Regulatory/Listing details

If the company is regulated or listed, please select the relevant category (if applicable) and provide the information required.

Regulated company

A company whose activities are subject to the oversight of a Commonwealth, State or Territory statutory regulator. In this context 'Regulated' means subject to supervision beyond that provided by ASIC as a company registration body.

Examples include:

- **Australian Financial Services Licensee (AFSL),**
- **Australian Credit Licensees (ACL), or**
- **Registrable Superannuation Entity (RSE) Licensees.**

Regulator name

Licence details

Australian Public Listed company

A company that is listed on an Australian financial market such as the ASX.

Name of market/exchange

Majority owned subsidiary of an Australian Public Listed company

A company that is majority owned by an Australian company that is listed on an Australian financial market such as the ASX.

Australian listed company name

Name of market/exchange

If you have selected one of the above regulated/listed company types above proceed to **Section 2: FATCA** if not, proceed to **5. Beneficial owners**

Section 1: Australian company details continued

5. Beneficial owners

To be completed for all companies that are not Australian Public Listed companies, majority owned by an Australian Public Listed company or Regulated Companies as per **4. Regulatory/Listing details**

Are there any individuals who ultimately own or control (directly or indirectly) through one or more shareholdings 25% or more of the company's issued share capital?

Yes Provide complete **5.1 Shareholder beneficial owners**

No Provide complete **5.2 Other beneficial owners**

5.1 Shareholder beneficial owners

Provide the names of the individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings).

You'll need to provide Identification for Individuals and Sole Traders forms for each, available from mlcinsurance.com.au/proof-of-identity

Full given name

Surname

Proceed to Section 2 FATCA

5.2 Other beneficial owners

Provide the names of the most senior managing official of the company (such as the managing director or directors who are authorised to sign on the company's behalf).

You'll need to provide individual customer identification forms for this individual. The Identification for Individuals and Sole Traders form is available from mlcinsurance.com.au/proof-of-identity

Full given name

Surname

Role (such as Managing Director)

Proceed to **Section 2 FATCA**

Section 3: Identification procedure

Simplified verification procedure for an Australian Public Listed company, a Majority Owned Subsidiary of an Australian Public Listed company or a Regulated company as described in **Section 1: 4 Regulatory/Listing details**

Tick (✓)	Please provide the following document for a company
<input type="checkbox"/>	Certified copy of the company's most recent ASIC annual statement, including any amendments or a company search showing shareholder information as available from ASIC.

Please check the details of who can certify in **Section 4**.

Section 4: Who can certify?

A document is only accepted as a certified copy if it has been certified as a true copy of the original document by a person who is currently licensed or registered to practise in Australia, in an occupation below:

- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner.
- A Justice of the Peace.
- A Judge of a court.
- A Magistrate.
- A Chief Executive Officer of a Commonwealth court.
- A Notary Public (for the purposes of the Statutory Declaration Regulations 2018).
- A Police officer.
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public.
- A permanent employee of the Australian Postal Corporation with 5 or more years of continuous service who is employed in an office supplying postal services to the public.
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955).
- An officer with 5 or more years of continuous service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 2018) (e.g. bank manager, bank officer).
- A finance company officer with 5 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declarations Regulations 2018).

- An officer or an authorised representative of, a holder of an AFSL, having 5 or more continuous years of service with one or more licensees (e.g. financial planner, advisor, broker).
- A member of the Institute of Chartered Accountants in Australia, CPA Australia, the Australian Association of Taxation and Management Accountants and the Institute of Public Accountants.
- Pharmacist.

Acceptable certification of ID documents

Each copy of the ID must be certified by an approved certifier as follows:

The approved certifier must write:

- Full printed name of the "Approved Certifier" (eg Michelle Helena Citizen).
- Date the document was certified.
- Signature of the approved certifier.
- The capacity in which they have certified the document, eg police officer, etc.
- The Registration number (if applicable) of the certifier.
- The following text:

If single page: This is to certify this is a true copy of the original which I have sighted.

If multiple page: I certify that this and the following (number of pages) are a true copy of the original which I have sighted. Each following page must be initialled and dated.

Section 5: Send us your form

Please return your completed, signed and dated form to:

MLC Life Insurance - Operations
PO Box 23455
Docklands VIC 3008

Email: enquiries.retail@mlcinsurance.com.au

If you have any questions, please contact your financial adviser or call us on **136 525**, 8.30am to 6pm AEST, Monday to Friday.