

Identification for Australian trusts and trustees

Policy number	<input type="text"/>	Policy number	<input type="text"/>
Policy number	<input type="text"/>	Policy number	<input type="text"/>

You can also arrange for your financial adviser to identify you by completing the appropriate FSC Identification form.
 We respect your privacy and handle your information in accordance with our privacy policy. The MLC Limited Privacy Policy is available at mlcinsurance.com.au/privacy-policy

Section 1: Trust details

1. General Details

Full name of trust

Full business name (if any) of the trustee	Country where trust established
<input type="text"/>	<input type="text"/>

2. Type of trust

Please select the type of trust. You can choose only **one**.

2.1 Regulated trusts

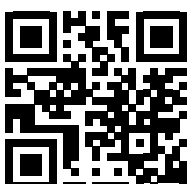
Please select the type of regulated trust.

<input type="checkbox"/> Self-Managed Superannuation fund Provide the SMSF's ABN	<input type="text"/>
<input type="checkbox"/> Registered managed investment scheme Provide Australian Registered Scheme Number (ARSN)	<input type="text"/>
<input type="checkbox"/> Government Superannuation fund Provide name of the legislation establishing the fund	<input type="text"/>
<input type="checkbox"/> Unregistered managed investment scheme Provide the scheme's ABN	<input type="text"/>
<input type="checkbox"/> Other regulated trust (A trust that is subject to the regulatory oversight of a Commonwealth, State or Territory statutory regulator such as an approved deposit fund, a pooled superannuation trust or an APRA-regulated superannuation fund)	
Provide name of the regulator (e.g. ASIC, APRA, ATO)	<input type="text"/>
Provide the trust's ABN or registration/licensing details	<input type="text"/>

Please go to **Section 2: Regulated trust details**

Trustee NULIS Nominees (Australia) Limited ABN 80 008 515 633 AFSL 236465	Fund MLC Super Fund ABN 70 732 426 024	Insurer MLC Limited ABN 90 000 000 402 AFSL 230694
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The Trustee is part of the Insignia Financial Group. MLC Limited uses the MLC brand under licence from Insignia Financial Group. MLC Limited is part of the Nippon Life Insurance Group and is not a part of the Insignia Financial Group.



Section 1: Trust details continued

OR

2.2. Unregulated trusts

Full name of Settlor/s.

The person/s who settles the initial sum or assets to create the trust.

Please select the type of unregulated trusts.

Family trust Charitable trust Testamentary trust

Other Provide description

Please go to **Section 3: Unregulated trust details**

Section 2: Regulated trust details

1. Settlor details

Provide the names of the person who settled the initial sum or assets to create the trust.

2. Beneficiary details

Provide the names and/or class/es of the trust's beneficiaries. Both the names and classes of beneficiaries must be provided (if the trust has both named and class/es of beneficiaries).

Class/es of beneficiaries

Provide full name of each beneficiary

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more beneficiaries please attach a separate list.

3. Beneficiary owners of the trust

Provide the names of the individuals who ultimately own or control the trust.

Provide full name and date of birth of each beneficiary.

	Full given name(s)	Surname	Date of birth
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more beneficial owners, please attach a separate list.

Section 2: Regulated trust details continued

4. Trustee details

You need to provide details of **one** of your trustees (even if the trust has a number of trustees).

Please choose the type of trustee.

Individual trustee

Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other <input type="checkbox"/>	First name
					<input type="text"/>
Middle name			Last name		
<input type="text"/>			<input type="text"/>		
Date of Birth		Email			
<input type="text"/>		<input type="text"/>			
Home telephone		Business telephone		Mobile	
<input type="text"/>		<input type="text"/>		<input type="text"/>	

Residential address - PO Box is **not** acceptable

Unit number	Street number	Street name			
<input type="text"/>	<input type="text"/>	<input type="text"/>			
Suburb	State	Postcode	Country		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		

Please go to **5 FATCA**

OR

Company trustee

Please complete the **Company details**, below.

Australian Company trustees should provide the information below. A Foreign Company trustee, must also complete the **Identification for a Foreign Company** in addition to this form. This also is available on mlcinsurance.com.au/proof-of-identity

Company details

Full name as registered by ASIC	ACN
<input type="text"/>	<input type="text"/>

Register address (Can not be a PO Box)

Unit number	Street number	Street name			
<input type="text"/>	<input type="text"/>	<input type="text"/>			
Suburb	State	Postcode	Country		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		

Principal Place of Business (if any) (Can not be a PO Box)

Unit number	Street number	Street name			
<input type="text"/>	<input type="text"/>	<input type="text"/>			
Suburb	State	Postcode	Country		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		

Company type

Public



Companies whose name does **not** include the word Pty or proprietary; generally listed companies. Please go to **Regulatory/Listing details**.

Proprietary



Companies whose name ends with Proprietary Ltd or Pty Ltd; also known as private companies. Please complete the details below.

Section 2: Regulated trust details continued

Directors

To be completed for proprietary companies, not required for public companies as above.
Provide full name of each Director.

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more directors, provide a separate list.

Please go to **Beneficial owners**

Regulatory/Listing details

If the company is regulated or listed, please select the relevant category (if applicable) and provide the information required.

<input type="checkbox"/>	Regulated company A company whose activities are subject to the oversight of a Commonwealth, State or Territory statutory regulator. In this context 'Regulated' means subject to supervision beyond that provided by ASIC as a company registration body. Examples include: <ul style="list-style-type: none">• Australian Financial Services Licensee (AFSL)• Australian Credit Licensees (ACL), or• Registrable Superannuation Entity (RSE) Licensees.	Regulator name <input type="text"/>
<input type="checkbox"/>	Australian Public Listed company A company that is listed on an Australian financial market such as the ASX.	Licence details <input type="text"/>
<input type="checkbox"/>	Majority owned subsidiary of an Australian Public Listed company A company that is majority owned by an Australian company that is listed on an Australian financial market such as the ASX.	Name of market/exchange <input type="text"/>
		Australian listed company name <input type="text"/>
		Name of market/exchange <input type="text"/>

If you have selected one of the above regulated/listed company types above proceed to **5. FATCA**.
if not, proceed to **Beneficial owners** below.

Beneficial owners of the Trustee Company

To be completed for all companies that are not Australian Public Listed companies, majority owned by an Australian Public Listed company or Regulated Companies as above.

Are there any individuals who ultimately own or control (directly or indirectly) through one or more shareholdings 25% or more of the company's issued share capital?

- Yes Please complete **Shareholder beneficial owners**
- No Please complete **Other beneficial owners**

Section 2: Regulated trust details continued

Sharehold beneficial owners

Provide the names of the individuals who ultimately own or control (directly or indirectly) through one or more shareholdings 25% or more of the company's issued share capital.

Full name	Date of birth (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>

Residential address - PO Box is **not** acceptable

Unit number	Street number	Street name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Full name	Date of birth (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>

Residential address - PO Box is **not** acceptable

Unit number	Street number	Street name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Full name	Date of birth (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>

Residential address (PO Box is **not** acceptable)

Unit number	Street number	Street name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Full name	Date of birth (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>

Residential address - PO Box is **not** acceptable

Unit number	Street number	Street name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Proceed to **5. FATCA**

Section 3: Unregulated trust details

1. Beneficiary details

Provide the names and/or class/es of the Trust's beneficiaries. Both the names and classes of beneficiaries must be provided (if the Trust has both named and class/es of beneficiaries).

Class/es of beneficiaries (e.g. unit holders, family members of named person, charitable organisations/causes)

Provide full name of each beneficiary.

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more beneficiaries, please attach a separate list.

2. Beneficial owners of the Trust

Provide the names of the individuals who ultimately own or control the trust. For Discretionary Trusts, usually this is the Trust's appointer, as they can control appointing or removing the Trustees who use the various Trust powers.

	Full given name(s)	Surname	Date of birth
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>	<input type="text"/>

3. Trustee details

You have to provide details and verify **all** Trustees. You'll need to complete either an **Identification for Individuals and Sole Traders** form for each individual trustee or an **Identification of an Australian Company** form for each corporate trustee available at mlcinsurance.com.au/proof-of-identity. These will be considered as the beneficial owners of the trust.

Trustee 1

Full name

Or

Company name

Residential address if an individual trustee or registered office address if a company trustee (Can not be a PO Box)

<input type="text"/>					
<input type="text"/>					
Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	Country	<input type="text"/>

Trustee 2

Full name

Or

Company name

Residential address if an individual trustee or registered office address if a company trustee - PO Box is **not** acceptable

<input type="text"/>					
<input type="text"/>					
Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	Country	<input type="text"/>

Section 3: Unregulated trust details continued

Please provide the name, address and **US Taxpayer Identification Number (TIN)** of each beneficiary, Trustee, settlor or beneficial owner who is a US citizen or resident of the US for tax purposes. If there are more than three, provide the details on a separate sheet.

US Person 1

Full given name(s)

Surname

US TIN

Residential address
- PO Box is **not** acceptable

Suburb

State

Postcode

Country

US Person 2

Full given name(s)

Surname

US TIN

Residential address
- PO Box is **not** acceptable

Suburb

State

Postcode

Country

US Person 3

Full given name(s)

Surname

US TIN

Residential address
- PO Box is **not** acceptable

Suburb

State

Postcode

Country

For more information on FATCA go to ato.gov.au

Please go to **Section 4, page 9** for details of who can certify your documents.

5. Identification documents

You'll need to provide identification documents for your Trust and ALL Trustees. To identify the Trustees, please complete the relevant identification form available on mlcinsurance.com.au/proof-of-identity

Trust identification documents

Tick (✓)	Please provide ONE of the following documents
<input type="checkbox"/>	An original or certified copy of the trust deed or if not reasonably available an original or certified extract of the trust deed.

Section 4: Who can certify

A document is only accepted as a certified copy if it has been certified as a true copy of the original document by a person who is currently licensed or registered to practise in Australia, in an occupation below:

- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner.
- A Justice of the Peace.
- A Judge of a court.
- A Magistrate.
- A Chief Executive Officer of a Commonwealth court.
- A Notary Public (for the purposes of the Statutory Declaration Regulations 2018).
- A Police officer.
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public.
- A permanent employee of the Australian Postal Corporation with 5 or more years of continuous service who is employed in an office supplying postal services to the public.
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955).
- An officer with 5 or more years of continuous service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 2018) (e.g. bank manager, bank officer).
- A finance company officer with 5 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declarations Regulations 2018).
- An officer or an authorised representative of, a holder of an AFSL, having 5 or more continuous years of service with one or more licensees (e.g. financial planner, advisor, broker).
- A member of the Institute of Chartered Accountants in Australia, CPA Australia, the Australian Association of Taxation and Management Accountants and the Institute of Public Accountants.
- Pharmacist.

Acceptable certification of ID documents

Each copy of the ID must be certified by an approved certifier as follows:

The approved certifier must write:

- Full printed name of the “Approved Certifier” (eg Michelle Helena Citizen).
- Date the document was certified.
- Signature of the approved certifier.
- The capacity in which they have certified the document, eg police officer, etc.
- The Registration number (if applicable) of the certifier.
- The following text:

If single page: This is to certify this is a true copy of the original which I have sighted.

If multiple page: I certify that this and the following (number of pages) are a true copy of the original which I have sighted.

Each following page must be initialled and dated.

Section 5: Send us your form

Please return your completed, signed and dated form to:

MLC Life Insurance - Operations
PO Box 23455
Docklands VIC 3008

Email: enquiries.retail@mlcinsurance.com.au

If you have any questions, please contact your financial adviser or call us on **136 525**, 8.30am to 6pm AEST, Monday to Friday.